

PCT #

JC542 U.S. PTO



11/18/99

21306

IN THE U.S. PATENT AND TRADEMARK OFFICE

Inventor

Eugen PAVEL

Patent App.

Not known (US Nat'l phase of the PCT)

Filed

Concurrently herewith

For

THREE-DIMENSIONAL OPTICAL MEMORY WITH  
FLUORESCENT PHOTSENSITIVE MATERIAL

Hon. Commissioner of Patents  
Washington, DC 20231

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RECORD OF TRANSMITTAL--PCT APPLICATION

<input checked="" type="checkbox"/>	PCT Transmittal	
<input checked="" type="checkbox"/>	PCT Application <u>FILED IN ENGLISH</u>	
<input type="checkbox"/>	Translation	
<input checked="" type="checkbox"/>	Section 1.9 (small-entity) Declaration	
<input checked="" type="checkbox"/>	Sheets of Drawing (2)	
<input checked="" type="checkbox"/>	PCT Declaration	
<input checked="" type="checkbox"/>	PCT Documents	
<input checked="" type="checkbox"/>	International Search Report	
<input checked="" type="checkbox"/>	Preliminary Amendment	
<input type="checkbox"/>	Assignment	
<input checked="" type="checkbox"/>	Reference(s) with PTO-1449	
<input checked="" type="checkbox"/>	Check for Official Fees	
<input checked="" type="checkbox"/>	Basic Fee (Small Entity)	\$485.00
<input type="checkbox"/>	Ind. claims in excess of 3	\$00.00
<input type="checkbox"/>	Claim in excess of 20	\$00.00
	Total	\$485.00

Please charge any fees not covered by an enclosed check to  
account 18-2025 of the undersigned.

18 November 1999

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Respectfully submitted,  
The Firm of Karl F. Ross P.C.

Herbert Dubno, Reg. 19,752

PTO 1390 Page 1 of 1

US Dept. of Commerce Pat. &amp; Trademark Office

Attorney's Docket No.

21306

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371

US. Application No. (if known)

09/424272

INTERNATIONAL APP. NO.

PCT/RO98/00006

INTERNATIONAL FILING DATE

20 May 1998

PRIORITY DATE CLAIMED

21 May 1997

TITLE OF INVENTION

THREE-DIMENSIONAL OPTICAL MEMORY WITH FLUORESCENT PHOTOSENSITIVE MATERIAL

APPLICANT(S) FOR DO/EO/US

Eugen PAVEL

Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following .

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 317(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed IN ENGLISH (35 USC 371(c)(2)).
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Patent Office.
6. ☒ A translation of the International application into English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11. to 16. below concern documents or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information.
  - Small-entity Statement
  - Drawing (2 sheets)
  - References
  - PTO-1449

09/42422

PCT/RO98/00006

21306

## 17. The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search report has been prepared by the EPO or JP ..... \$840.00

Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) ..... \$670.00

No int'l prel. exam. fee paid to USPTO (37 CFR 1.482)

but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$760.00

Neither int'l prel. exam fee (37 CFR 1.482) nor

int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO ..... \$970.00

Intl. prel. exam. fee paid to USPTO (37 CFR 1.482)

and all claims satisfied provisions of PCT Art. 33(2-4) ..... \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT

## CALCULATIONS PTO USE ONLY

\$970

Surcharge of \$130.00 for furnishing oath or declaration later than □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NO. FILED	NO. EXTRA	RATE		
Total claims	3	0	\$18	\$0	
Ind. claims	0	0	\$78	\$0	
MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)			260		
TOTAL OF ABOVE CALCULATIONS				\$970	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (37 CFR 1.2, 1.27, 1.28)				\$485	
SUBTOTAL				\$485	
Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
TOTAL NATIONAL FEE				\$485	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)					
TOTAL FEES ENCLOSED				\$485	
				Amt to be refunded	
				Amt to be charged	

a. ☒ A check in the amount of \$485.00 to cover the above fees is enclosed

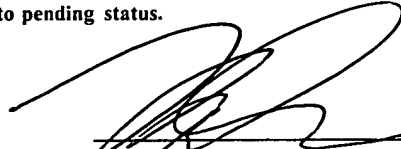
A check in the amount of \$ to cover recordal of the Assignment

b. ☐ Please charge my deposit account 18-2025 \$ to cover the above fees. A copy of this sheet is enclosed.c. ☒ The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit account 18-2025. A copy of this sheet is enclosed

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

The Firm of Karl F. Ross P.C.  
 5676 Riverdale Ave. Box 900  
 Riverdale (Bronx), NY 10471

  
 Herbert Dubno, Reg. No. 19,752